Programme / project initiation getting started

Informal Settlement Improvement, Inclusion, Transformation & Urban Management Overall Summary Framework & Process



Secure / procure the necessary professional capacity for initiation stages up until end of participative community action plans - either at project or programme (municipal/precinct) level. Ideally, capacity for community participation & communications should be secured/procured at a programme level for a sustained (multi-year) period

Assess context (planning & socio-economic) - Key issues & trends from: survey data at municipal level (census, household survey etc.); IDP, sector plans (incl. HSP), LUMS / SDP, etc.

Rapid historical review of previous work, studies, processes and stakeholders (especially at settlement level). ID key relationships, information & issues

Initial community engagement to establish trust & communication channels. Identify key issues, risks & opportunities at broad level.

Rapid assessment & categorisation to determine settlement profile and status.

Scope develop-ability & technical constraints (desktop + site visits)

Socio-economic household survey (if possible / appropriate). <u>Caution</u>: In some settlements this may be resisted or spark the expectation of housing delivery

Participative community action plans – multi-sectoral: infrastructure, livelihoods, key social facilities, special needs etc. Further assess key issues, resources & 'needs'.

Secure funding and further professional capacity to undertake subsequent phases of work including for project preparation and planning and ongoing participation & communications if not already secured

| | Expedite rapid responses & vulnerability mitigation | | | | | | |
|-------|--|--|---|---|---|--|--|
| | Plan, design & deliver either interim or emergency basic services (e.g. water, sanitation, access, fire protection, solid waste removal). Possible preliminary layout & proactive emergency housing. | Special needs response plans e.g. home based care, child headed households, HIV prevention & treatment | Support for livelihoods & informal enterprise - including food security / gardens | Basic / functional tenure (e.g. administrative settlement recognition) | Participative LED plan - based on engagement with local informal and formal enterprise | | |
| TIME | ST | ST | ST | ST | ST | | |
| SCALE | settlement | settlement | settlement | settlement | settlement | | |
| COST | moderate | moderate | low | low | low | | |
| GRANT | EH / USDG / USIP | Mun / DoSD / DOH | Mun, DED | UISP | Mun / DED | | |
| GOVT | Mun | Mun, DoSD, DOH | Mun, DED | Mun | Mun | | |

| Address spatial / precinct level development | | | | | |
|---|---|---|--|--|--|
| Key social facilities assessment & investment / response plan (e.g. primary health, education etc) | Precinct level master plans (road hierarchy, activity centres, key social facilities etc) | Land identification & assessment (for possible full upgrading / relocation / green-fields dev / incr. dev. area) | Land acquisition (for possible full upgrading / relocation / green-fields dev / incr. dev. area) | | |
| ST/MT | ST/MT | ST/MT | MT/LT | | |
| precinct | precinct | settlement | settlement | | |
| moderate | low | low | moderate to high | | |
| Mun, DE, DOH | Mun / UISP | Mun / UISP | Mun / UISP | | |
| Mun, DoSD, DOH | Mun | Mun, DoHS | Mun, DoHS | | |

| Where and when appropriate - formalisation | | | | |
|--|---|--|--|--|
| Relocation / resettlement - Last resort (e.g. green-fields housing / incremental development area | Permanent tenure solution (not necessarily a title) | Permanent services & township establishment including planning / EIA approvals etc | Housing delivery (preferably med. density) including 'self-help' housing | |
| MT/LT | MT/LT | MT/LT | MT/LT | |
| settlement | settlement | settlement | settlement | |
| moderate to high | moderate to high | high | high | |
| UISP | UISP | UISP | UISP | |
| Mun, DoSD, Health | Mun | Mun, DoHS | Mun, DoHS | |

| Abbreviations | | | | | | | |
|--------------------|--|---|--|--|--|--|--|
| ST = 6 – 18 months | DED = Department of Economic Development | DoSD = Department of Social Development | EH = Emergency Housing | | | | |
| MT = 1 – 2 years | DE = Department of Education | UISP = Upgrading Informal Settlement Programme DoHS | DoHS = Department of Human Settlements | | | | |
| LT = 2 - 10 years | DOH = Department of Health | USDG = Urban Settlement Development Grant | Mun = Municipality | | | | |